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PATENT

ATTORNEY DOCKET NO. 08575/046001

jc490 U.S. PTO  
09/346195  
07/01/99

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Xuehai En et al. Art Unit:  
Serial No.: Examiner:  
Filed : July 1, 1999  
Title : SELECTING INVESTMENTS FOR A PORTFOLIO

Assistant Commissioner for Patents  
Washington, DC 20231

INFORMATION DISCLOSURE STATEMENT

Applicant submits the references listed on the attached  
form PTO 1449, copies of which are enclosed.

This statement is being filed with the application.  
Please apply any charges or credits to Deposit Account No. 06-  
1050.

Respectfully submitted,

Date: 7/1/99

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Jason DeThomas

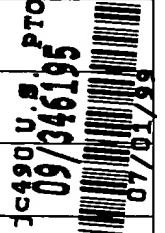
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SERIAL NO.

INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT  
(Use several sheets if necessary)APPLICANT  
Xuehai En et al.FILING DATE  
July 1, 1999

GROUP

(37 CFR 1.98(b))



## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		PATENT NUMBER	ISSUE DATE	PATENTEE	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA						
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						

## FOREIGN PATENT OR PUBLISHED FOREIGN PATENT APPLICATION

		DOCUMENT NUMBER	PUBLICATION DATE	COUNTRY OR PATENT OFFICE	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	AL							
	AM							
	AN							
	AO							
	AP							

## OTHER DOCUMENTS (Including Author, Title, Date, Place of Publication)

	AQ	Elton et al., The Persistence of Risk-Adjusted Mutual Fund Performance, Journal of Business 69:133-157 (1996)
	AR	
	AS	

EXAMINER

DATE CONSIDERED

EXAMINER: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.